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		OF COMMERCE PATENT AND TRADEMARK OF	ATTORNEY'S DOCKET NUMBER 09669/009001	
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		ED OFFICE (DO/EO/US)	U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR	
		IG UNDER 35 U.S.C. 371	09/936645	
NTERNA	TIONAL APPLICATION NO. PCT/FR00/00679	INTERNATIONAL FILING DATE March 17, 2000	PRIORITY DATE CLAIMED March 17, 1999	
TTLE OF	INVENTION			
	DD FOR CHECKING THE SI	GNATURE OF A MESSAGE		
rnaud	FAUSSE			
pplicant	herewith submits to the United Sta	ates Designated/Elected Office (DO/EO	/US) the following items and other information:	
1.	This is a FIRST submission of i	tems concerning a filing under 35 U.S.	C. 371.	
2. 🗆		QUENT submission of items concerning		
3.	This is an express request to beg	in national examination procedures (35	5 U.S.C. 371(f)) at any time rather than delay .S.C. 371(b) and PCT Articles 22 and 39(1).	
<u>4.</u> 🛛			by the 19th month from the earliest claimed priority date.	
4. X 5. X		lication as filed (35 U.S.C. 371 (c) (2))		
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.		the International Bureau.	international Dureau).	
a M		application was filed in the United State	s Receiving Office (PO/LIS)	
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7. 🛛	A copy of the International Search		, 1(v)(4)J.	
7 . ⊠ 8. ⊠		The state of the s	Article 19 (35 U.S.C. 371 (a)(2))	
! .	Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3)) a. are transmitted herewith (required only if not transmitted by the International Bureau).			
			io international Bulcauj.	
U	 b. have been transmitted by the International Bureau. c. have not been made; however, the time limit for making such amendments has NOT expired. 			
	d. \(\text{ have not been made, not been made and any control of the contro		amendments has NOT expired.	
9. j		to the claims under PCT Article 19 (35	ELLE C. 271(-)(2))	
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2.	A translation of the annexes to the	minary Examination Report (PCT/IPE) ne International Preliminary Examination		
Items	(35 U.S.C. 371 (c)(5)). 13 to 20 below concern documen	t(s) or information included.		
3. 🗵		ement under 37 CFR 1.97 and 1.98.		
4.			Niones with 27 CED 2.29 and 2.21 to 1.1	
•. □ 5. ⊠	A FIRST preliminary amendmen		pliance with 37 CFR 3.28 and 3.31 is included.	
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21.	The fol	lowing fees are su	ıbmitted:.					CALCULATION	S PTO USE ONLY
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PATENT ATTORNEY DOCKET NO. 09669/009001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Arnaud FAUSSE

Art Unit:

Serial No.:

Examiner:

Filed:

September 13, 2001

Title:

METHOD FOR CHECKING THE SIGNATURE OF A MESSAGE

Assistant Commissioner for Patent Washington, DC 20231

PRELIMINARY AMENDMENT

Dear Sirs:

Prior to examination, please amend the application as follows:

Prior to examination, please amend the application as follows:

IN THE SPECIFICATION

- Page 1, between line 11 and line 12, insert: -- FIELD OF THE INVENTION--;
- Page 1 between line 14 and line 15, insert: --BACKGROUND OF THE INVENTION--;
- Page 5, between line 26 and line 27, insert: --SUMMARY OF THE INVENTION--;
- Page 7, between line 11 and line 12, insert: --BRIEF DESCRIPTION OF THE DRAWINGS--; and

Page 7, between line 19 and line 20, insert: --DETAILED DESCRIPTION--.

Date of Deposit: September 14, 2001

I hereby certify under 37 CFR 1.8(a) that this correspondence is being deposited with the United States Postal Service as Express Mail #EL656798724US with sufficient postage on the date indicated above and is addressed to Box PCT, Assistant Commissioner for Patents,

a)

Rhonda L Parker

REMARKS

Full examination and favorable action are requested.

Please charge any fees, or make any credits, to Deposit Account No. 50-0591,

Reference No. 09669/009001.

Date: 5/12/01

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METHOD FOR CHECKING THE SIGNATURE OF A MESSAGE

The present invention concerns a method for checking the signature of a message.

invention can in particular be The advantageously applied the field of telecommunications via transmission of messages in the form of electronic files.

The development of telecommunications via the longdistance exchange of electronic files (electronic trade, electronic mail, authentication in electronic format, etc) has resulted in the arrival of cryptographic processing techniques aiming to protect the messages transmitted on electronic communication networks to stop any attempts to frauds to which said messages may be subject.

Amongst the operations for the cryptographic processing of a message, it is possible to cite the encrypting of the entire message. However, this technique remains extremely cumbersome and is often superfluous, at least in situations where the recipient of the message merely wishes ascertain the identity of the sender and the completeness of the message he receives in uncoded form. Thus, in order to meet these requirements, the concept of the electronic signature has been developed.

The electronic signature is based on the following principles :

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wishes message who writer of а The · authenticate its origin, that is sign it, has available a secret number called a private key Kpr intended for writing an electronic signature for said message. Another key, known as a public key Kpu, is available to any recipient of a message originating from the same sender so as to be able to check the electronic signature of the received message. Said public key is generally associated with the name of the sender and other data, such as the period of validity of the key, in a protected structure called a certificate. protecting of the certificate rests on the fact that all the data is itself signed by a "reliable third party" with his private key Kprtc and whose public key Kputc is accessible to all.

- The writing of the signature is made in two stages. First of all, the message is reduced, known as "hatched", by means of a sole direction reduction algorithm, such as those known under the names of SHA1 or MD5. Then the reduced message is encrypted by public key algorithm, RSA, ECC for example, with the aid of the private key of the signer. The result of this encrypting constitutes the signature.
- The uncoded message, the signature and possibly the certificate containing the public key Kpu are sent to the recipient via the communication network.
- The recipient must then check that the signature received fully corresponds to the message and its author. In order to do this, he reduces the message using the sole direction reduction algorithm selected by the signer and decrypts the signature by using the public key Kpu of the signer. The signature is recognised valid if the result of reduction of the message equals the result of decrypting of

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the signature. The same method can be used to check the data contained in the certificate with the aid of the public key Kputc of the reliable third party who sent it.

It is interesting to note that the electronic signature depends on the contents of the message and the private key of the signer whereas the handwritten signature identifies the author but is independent of the message.

So as to give a legal value to the electronic signature, it is necessary to prove certain facts including

- The signer must have a private key held by nobody else ;
- The signer needs to be sure of the message he signs;
- The recipient needs to be sure that checking of the signature is properly carried out on the received message;
 - The recipient needs to be certain of the result of checking.

If one of the above conditions is not verified, the signer and/or the recipient can dispute validity of the signature.

Now, most of the cryptographic processing operations of a message, especially the writing of an electronic signature and its checking, are carried out in office computer environments. However, the computers are open systems on which there is no control of security, as the user is free to install any software he chooses. Similarly, for the computers connected to the communication networks, a large number of « virus » or undesirable programmes can be introduced without the knowledge of the user.

Thus, it is necessary to consider the environment of

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the computer as being "uncertain".

The simplest situation to calculate an electronic signature, for example, could consist of using the computer as a device for storing the message and the keys and as a device for writing the signature. This solution is clearly unacceptable as the keys stored in the computer can be read by a hacker via the communication network and the same hacker could remotely use the computer to calculate a signature on a message the owner of the computer does not wish to sign.

Thus, it is desirable to be able to have available a protected cryptographic processing device which, in the example for writing a signature, would be used to store the private key of the signer and for calculating the signature, the message remaining stored in the storage element constituted, for example, by the computer.

As a protected cryptographic processing device, it is possible to use a microprocessor card, also called a microchip card. As regards the signature of a message, the microchip card offers the following services:

- Storing the private key of the signer;
- Calculation of reduction of the message;
- Encrypting of the reduced message.

A typical example of the architecture of installing 25 this application basically includes a computer to which the microchip is connected by means of a box. From the computer point of view, the operations occur as follows:

- Storage of the message in a storage element of the computer;
 - Editing the message on the computer;
- Calculation of the reduced message on the microchip card;

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- Encrypting of the reduced message by the card after checking the confidential code introduced by the signer by means of the box;
- Sending of the message and signature by the card to the computer for communication to the network.

With this system, the singer is sure that nobody other than he can use his private key for signing. This solution is currently used and is sufficient for calculating the signature whose range has no legal value but for protecting a closed set of computers, such as the internal networks of large concerns.

However, it shall be observed that the cryptographic processing system described above does have a certain number of drawbacks :

- The signer is not certain of the message he signs since he is not guaranteed that a virus in the computer has not modified the message before the reduction operation;
- The recipient is not certain that checking has been properly carried out concerning the message received since there is no guarantee that a virus in the computer has not made the message appear correctly on the screen when the signed message is not the one displayed;
- The recipient is not certain of the result of checking since there is no guarantee that a virus in the computer does not reveal any signature as verified when the latter is false.

Also, the technical problem to be resolved by the object of the present invention is to provide a method for checking the signature of a message, the message, signature and a certificate having been sent by a signer possessing a public key to a recipient having a message storage device for putting right the drawbacks of known cryptographic

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processing systems so as to attain a suitable level of protection to give the message sent an indisputable legal value and enable a recipient to check the identity of the signer and ensure that the latter is unable to revoke the message he has sent..

According to the present invention, the solution to the technical problem put forward consists in that the checking method comprises stages by which:

- The message, signature and certificate are loaded from the storage device into a protected device connected to said storage device of the recipient,
- The certificate in the protected device is checked with the aid of a public key of a reliable third party associated with said certificate and at least one item of data of the result of checking is transmitted by a display device connected directly to the protected device,
 - The result data is checked on the display device,
- When the certificate is verified, a reduction of the message is calculated in the protected device and the message is recopied onto the display device during the reduction operation,
- The signature with the public key of the signer is decrypted in said protected device,
- The decrypted signature is compared with the reduction carried out, and
- According to the result of this comparison, a message is sent from the protected device to the display device indicating that the signature conforms or does not conform to the message or to the public key of the signer as specified.

Thus, it can be understood that with the checking method of the invention, the recipient of a signed message

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could be certain that the identity of the signer is authentic and that the message is genuine and could not be cancelled since shown on the display device shall be the checking result data of the certificate, possibly the certificate, the message on which signature checking is carried out and the checking result of the signature without all these elements circulating in the "uncertain" storage device, on a computer for example, likely to encourage attempts of fraud, the display function (printing, display or filing) being a closed environment considered as "certain".

The following description in relation to the accompanying drawings, given by way of non-restrictive examples, shall reveal more clearly the details of the invention and on how it can be embodied.

Figure 1 is a perspective diagram of an authentication device used by a method conforming to the invention.

Figure 2 is a block diagram of the authentication device of figure 1.

The authentication device shown on figure 1 is intended to authenticate a message during an operation for the cryptographic processing of said message.

In the continuation of the description, two types of namely cryptographic processing are considered, signature of a message to be sent to a recipient, conversely the checking by a recipient of the signature of a received message. Of course, other cryptographic processing operations can implemented with be the aid authentication device of figure 1, such as the encrypting of the message itself.

Generally speaking, the message authentication device of figure 1 comprises a device for storing said message

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constituted for example by a memory in the central unit 11 of a computer 10. In fact, the stored message is the one the author has written using the keyboard 12 and which needs to be covered by an electronic signature. Usually the written message appears on the screen 13 of the computer 10. The central unit 11 communicates with the outside world, especially with the communication networks, with the aid of a cable 14 by which the messages to be signed and sent or the received signed messages are conveyed.

The central unit 11 is connected by a linking cable 15 to a protected cryptographic processing device 21, in this case constituted by a microprocessor card placed in a box 22. As shown on figure 2, said box 22 includes an interface circuit 221 called a data/command circuit. The message needing to be signed or the message whose signature needs to be checked, as well as the data required for the checking or signature operations, arrive from the storage device 11 at the microchip card 21 via this circuit by observing, for example, the standard ISO 7816. The data/command circuit 221 has an inlet by activating a button 222 for receiving a signal for triggering the signature operation and the data on a keyboard 224 of the box, such as a confidential code.

Secondly, the microchip card 21 is connected directly to a display device 30, in this case a printer but which could also be a screen or filing device so as to be able to transmit at least the message received from the central unit 11 during the cryptographic processing operation. The link between the microchip card 21 and the printer 30 is embodied by a display interface 223 of the box 22 through which the message and other data needing to be authenticated shall pass.

The architecture of the authentication device shown on

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figures 1 and 2 is therefore based on a microprocessor card 21 forming the bridge between an "uncertain" zone, the computer 10, and a "certain" zone, the printer 30, the card itself being considered as "extremely certain".

The inlets/outlets of the commands/data 221 and display electrically independent 223 circuits are microprocessor card is present in the box 22. When a card 21 is inserted into the box 22, the electric earth is then shared between the two circuits 221 and 223. The data derived from the card 21 towards the display circuit 223 come out via a specific outlet 02 physically distinct from the outlet 01 used for the transfer of commands/data. Similarly, the commands/data and display inlets I_1 and I_2 of the card 21 are physically separate. In fact, the only logic link between the data circulating in the data/commands 221 and display 223 circuits is the software of the card, considered as "extremely certain".

If the link between the microprocessor card 21 and the printer 30 would not appear to be sufficiently protected owing in particular to its orientation, the card 21 has been designed to be able to transmit to the printer 30 the message to be processed and other data in encrypted form. The mechanism used shall for example be a symmetrical algorithm, such as the triple DES whose key can be fixed or negotiated between the card 21 and the display device 30.

A message signature operation takes place as follows :

- 1. The message to be signed is edited in the storage device 11 of the computer and subsequently appears on the screen 13 and then the signer asks the computer to start the signature operation.
- 2. The computer 10 sends the message to the card 21 via the commands/data circuit 221 by packets of N octets so as

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to be reduced by a chopping algorithm (N = 64 if the algorithm SHA1 is used).

- 3. During initialisation of the chopping algorithm, the software 211 of the card 21 sends an initialisation command from the display device 30 which will make it possible to definitively authenticate the message.
- 4. During arrival of the message coming from the storage device 11, the software 211 of the card 21 calculates from this on-line reduction and recopies it onto the display outlet 0_2 , so that the display device 30 could display, that is print, the message during the reduction operation.
- 5. When all the message has been sent to the microprocessor card 21 by the computer and before carrying out the operation for encrypting the reduced message, the card is put on stand-by for receiving a command message.
- 6. The signer has the time to authenticate the printed message, and then if he accepts its contents, write said command message in the form of a confidential code entered on the keyboard 224 of the box 22. The data/commands circuit 221 generates the command for encrypting the reduced message by displaying the command and the confidential code entered on the keyboard 224 by the signer. The computer cannot see the contents of this command. It is also possible to have available a physically separate inlet on the microprocessor card 21 so as to re-enter the confidential code.
- 7. The microprocessor card 21 calculates the signature, sends the value to the computer 10 and, if appropriate, to the display device 30. The software 211 of the card 21 could also include other data to be displayed, such as and not exclusively the series number of the card, the name of the signer, etc., if this data is present in the card 21.

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It is important to note that the signature operation could only be activated on the card 21 following a reduction and the entering of the confidential code as a command message of encrypting the reduced message. Furthermore, subsequent to signature calculation, signature authorisation is deleted, thus requiring the confidential code to be deliberately entered for any subsequent signature operation.

When this involves an operation for checking the signature of a message, the message and its signature are sent to the recipient into the central unit 11 of his computer 10. The recipient shall then want to check the authenticity of the signature with respect to the message and the signer. This shall occur when the certificate of the signer is also sent to the recipient.

The recipient needs to carry out two types of checking. First of all, checking of the link between the identity of the signer and the public checking key, that is checking of the certificate, and secondly checking of the value of the signature with respect to the message received and the certificate.

The sequence occurs as follows:

- 1. The recipient triggers the checking operation by loading into the microprocessor card 21 the certificate of the signer and the public key of the reliable third party who has issued the certificate.
- 2. The computer 10 sends out a command to check the certificate with the public key of the reliable third party. This command triggers initialisation by the card of the display device 30.
- 3. The card 21 checks the certificate and sends the display device 30 via the display circuit 223 the following data: validity of the certificate (with the dates), public

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reliable third party used to verify of the certificate, public key of the signer, name of the signer other data able to be linked to the use context. Thus, a recipient receiving a false certificate, digitally genuine but issued by a false reliable third party, would be fully aware of this by comparing the displayed value of the public key of the "false third party" with that of the "genuine third party" whose public key is published in authenticated form. Thus, the recipient can authenticate the identity of the signer and, by means of a date of validity of the certificate, can be certain concerning the date on signer signed the message and the non-obsolescence of said certificate. It is also possible to have a data element transmitted to the display device 30, namely a message stating that the certificate is genuine or false. In this case, the recipient merely checks the message and deduces received a false this that he has certificate. In a further example, if the certificate is correct, the certificate can be sent to the display device 30 and the recipient then compares the displayed certificate with the certificate sent.

- 4. When the certificate is checked, the computer 10 triggers the reduction operation command and sends the message to the card 21.
- 5. When the message coming from the storage device 11 arrives, the software 211 of the card calculates on line its reduction and recopies it onto the display screen O_2 , so that the display device 30 shall display, that is in this case print, the message during the reduction operation. The recipient is thus able to verify that the calculated reduced message is genuine.
 - 6. When the entire message has been sent to the

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microprocessor card 21 by the computer 10, the latter then sends a command to verify the signature. It parameterizes the value of the signature received from the signer. software 211 of the card deciphers the signature public key of the signer and compares it with the result of the reduction carried out in stage 5. If there is equality, the card 21 sends a message to the computer 10 stating that the signature conforms to the message and the public key of the certificate put forward. The card sends to the display circuit 223 the message "Signature OK. End of by the checker. If the verification" which can be seen signature is not correct, the card then sends a message to the computer indicating that the signature does not conform to the message or the public key of the certificate put forward. The card sends the display circuit 223 the message «Signature incorrect" End of verification able to be seen by the checker».

Thus, by means of this method, the signer could find it extremely difficult to revoke a message he has sent.

All these actions shall take place trouble-free in the order indicated. Otherwise, the sequence is annulled by the microprocessor card 21 and it is necessary to start the whole process again.

Of course, the sendings or loadings of the message, the certificate and the signature can be made simultaneously prior to checking of the certificate. Similarly, the sendings of commands for checking of the certificate, and those concerning reduction operations and signature checking can be made by means of a single command. This single command can include the message, the certificate and the signature. As a result, the software of the card identifies this single command and accordingly executes it. Of course,

the public key of the signer is also preferably loaded into the microprocessor card 21 during loading of the certificate, unless it is already found in the card..

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CLAIMS

- 1. Method for checking the signature of a message, the message, signature and a certificate having been sent by a signer having a public key to a recipient having a message storage device (11), characterised in that it comprises stages according to which :
- the message, signature and certificate are loaded from the storage device (11) onto a protected device (21) connected to said storage device (11) of the recipient,
- the certificate in the protected device (21) is checked by means of a public key of a reliable third party associated with said certificate, and at least one data element of the result of checking is transmitted to a display device (30) connected directly to the protected device (21),
- the result data element is checked on the display device (30),
- when the certificate is verified, a reduction of the message is calculated in the protected device (21) and the message is recopied onto the display device (30) during the reduction operation,
- the signature with the public key of the signer are decrypted in said protected device (21),
- the signature decrypted is compared with the carried out reduction, and
- according to the result of this comparison, a message is sent from the protected device (21) to the display device (30) indicating that the signature conforms/does not conform to the message or the public key of the signer put forward.
- 2. Checking method according to claim 1, characterised in that during loading of the certificate the

public key of the reliable third party is loaded.

- 3. Checking method according to claim 1 or 2, characterised in that said protected device (21) is constituted by a microprocessor card placed in a box (22) connected firstly to said storage device (11), and secondly to said display device (30).
- 4. Checking method according to any one of the preceding claims, characterised in that said display device (30) is a printer, a screen or a filing device.
- 5. Checking method according to any one of the preceding claims, characterised in that said protected device (21) sends said display device (30) result data of said certificate, such as the date of validity of the certificate.
- 6. Checking method according to any one of the preceding claims, characterised in that the protected device (21) comprises firstly a commands/data interface circuit (221) embodying a link with the storage device (11), and secondly a display interface circuit (223) embodying a link with the display device (30), said circuits being physically independent.

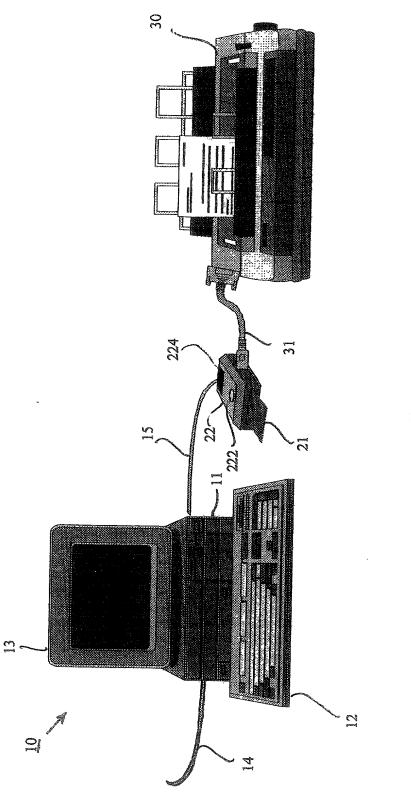
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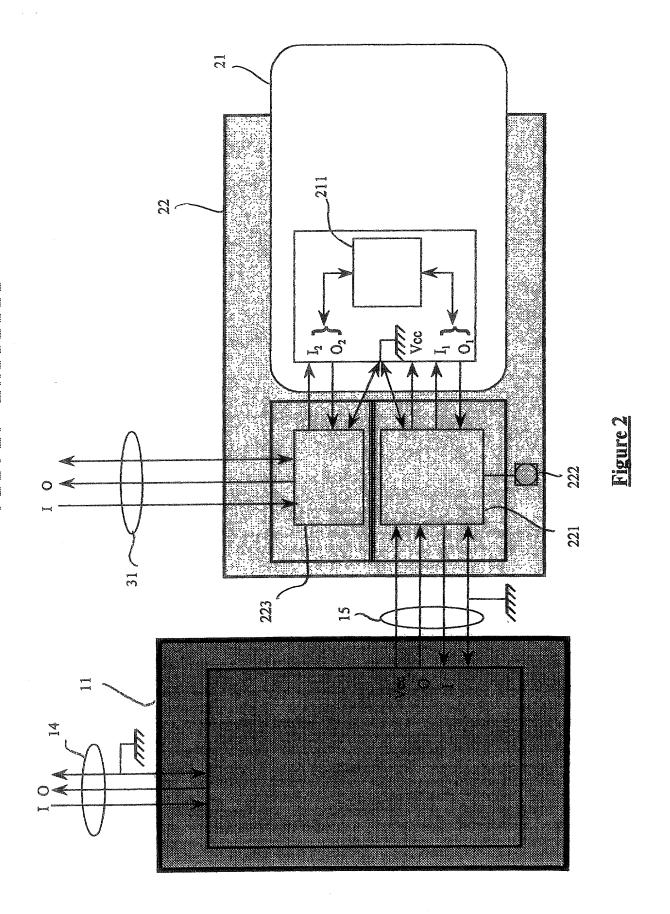
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Teure 1



PTO/SB/01 (03-01) Approved for use through 10/31/2002. OMB 0651-0032 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.					
	Attorney Docket Number	09669/009001			
DECLARATION FOR UTILITY OR DESIGN	First Named Inventor	FAUSSE Arnaud			
PATENT APPLICATION	COMPLETE IF KNOWN				
(37 CFR 1.63)	Application Number	09 /936, 645			
Declaration Declaration	Filing Date	September 14, 2001			
Submitted OR Submitted after Initial	Group Art Unit				
with Initial Filing (surcharge Filing (37 CFR 1.16 (e)) required)	Examiner Name				

Filing	required)	Examiner Name					
As a below named inventor, I hereby declare that:							
My residence, mailing address, and citizenship are as stated below next to my name.							
I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:							
METHOD FOR CHECKING THE SIGNATURE OF A MESSAGE.							
	(Title	e of the Invention)					
the specification of which							
is attached hereto							
OR	OR 09/ 14/ 2001						
was filed on (MM/DD/Y	YYY)	as United Si	tates Application I	Number or PCT in	ternational		
·							
Application Number	Application Number 09/ 936, 645 and was amended				(if applicable).		
I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.							
I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation- in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.							
I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or any PCT international application having a filing date before that of the application on which priority is claimed.							
		Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Cop	oy Attached? NO		
99/ 03330	France	03/ 17/ 1999					
Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:							

[Page 1 of 2]

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NAME OF SOLE OR FIRST INVENTOR :	, A petition ha	s been filed for this un	signed inventor		
Given Name (first and middle [if any])	Amana	Family Name or Surname	FAUSSE		
Inventor's Signature	Inventor's Nov 2001				
Residence: City	State PR	France	French Citizenship		
50, Aver	nue Jean Jaurès	– B.P. 620-12			
Montrouge Cedex	State	92542 ZIP	France Country		
NAME OF SECOND INVENTOR:	A petition has	been filed for this uns	igned inventor		
Given Name (first and middle [if any]) Family Name or Surname					
Inventor's Signature Date					
Residence: City	State	Country	Citizenship		
Mailing Address					
City State ZIP Country					
Additional inventors are being named on the supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto.					

PTO/SB/81 (02-01)

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	1		
Application Number	09/ 936, 645		
Filing Date	September 14, 2001		
First Named Inventor	Arnaud FAUSSE		
Title	Method For Checking The		
Group Art Unit			
Examiner Name			
Attorney Docket Number	09669/009001		

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Date	= N/m/ 9em7				
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